



July 7, 2011

Mr. Richard W. Bertelson III Staff Attorney **Public Service Commission** 211 Sower Blvd. P.O. Box 615 Frankfort, KY 40602

RECEIVED

JUL - 8 2011

PUBLIC SERVICE COMMISSION

Case No. 2008-0069 Re:

Dear Mr Bertelson:

Enclosed is a copy of the safety audits performed at Shelby Energy from June 1. 2010 and June 30, 2010. This is done in accordance with Item 9 of the settlement agreement dated September 29, 2008.

If you have any questions or need further information please feel free to contact me at (502)643-2778 or by e-mail at jason@shelbyenergy.com.

Sincerely,

Jason Ginn

V.P. of Operations & Engineering

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CONTRACTOR FIELD INSPECTION FORM

Inspector: Jan Ginn			Date: <u>6</u> -	
< C			Time:7	:55 a
Position: Safety			County:_	Shelby
•			Location:	Vigo
Company: SEC				,
Company: SEC Address: 620 0146	nehrilla Rol		Name of	Contractor Observed:
Stellywille Ky			1.	•
			GII.	6T
Phone #: 502-6-13-3	2778			mbers: <u>Cambron</u> (oombs
	٧ <i>٨</i> °		Crew Mei	mbers: Cambron (oom 1 3
Weather Conditions: <u>Sun</u>	my au			1 1 <1
				and Stevens
			1.	
el	Ω /		1 10	n but y
Job Description: Changing	15/03			v

			•	
		,		
Energized Work Being Pref	ormed: YE	es V	NO	_ Truck#'s
Overhead Under			/oltage <u>7.2</u>	
Job Briefing	Yes	No	N/A	If NoCorrective Action Taken
Conducted before job & Sign	ed 🗸			
Job procedure covered				
Energy control procedure				
PPE used			<u> </u>	
Job hazards				
Emergency procedures				
Special precautions	10			
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taken
Appropriate work signs				
Flagman required			+ -	
Flag person used			+	
Flag person properly equippe			+ 1/	
Traffic cones in place	<u> </u>			
Trucks Grounded				
Truck Chocks Used	+ / /			
Personal Protective Grounds				
n organiari rateative Organias	1	1	i	1

PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken
Hard hat	V,			
Safety glasses	1/			
Rubber gloves				
Rubber sleeves				
FR Clothing	V/			
Fall protection				
Rubber Goods Inspections	Yes	No	N/A	If NoCorrective Action Taken
Gloves	V			
Sleeves	V			
Line hoses				
Blankets				
Miscelaneous Observations	Yes	No	N/A	If NoCorrective Action Taken
Shelby Energy Decals	V			
In Place	V			
Proper Clearances	/			
Rolling Grounds in Place	V		1	
Public Hazards Present			4	
Notes/Comments:	end Isla			
Job Site Findings Discussed	I With Crev	w: YES	V	NO
Corrective Actions Needed:	Yes	No		,
If Corrective Actions Taken I	Explain In I	Detail:		
•	•			
			······································	
		**************************************		4.000
Line Supervisor's Signature				
Inspector's Signature:				
Safety & Loss Control Coord	linator Sig	nature:		



Inspector: Share (>0/11/0<		Date:	6-8-11
Position: Freld Si	up_		Time:	8:11 um
Company: SEC	•		County:_	Tomble
Address: 620 Dld Fin	dille Re)	Location	: 3162 7421
Thelbyville,	Rd		Name of	Contractor Observed:
Phone#: 562-29c-	7016		<u> </u>	endell
Weather Conditions: 92	P		Crew Me	mbers:
shot & He	emid_		<u> </u>	ry E(lis
Job Description:			A.	J. Cobb
Certing ROW and of	Camp Gra	rends		wistian Carter
PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken
Hard hat	1/			
Safety glasses				
Fall protection/harness				
Harness Attached to Boom				
Ear Plugs/ Ear Muffs	ر سرد			
Chaps				
Gloves			and control of the second	
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taken
Appropriate work signs	1.00		107	
Flagman required/used			·/	CONTROL OF THE PROPERTY OF THE
Flag person properly equipped	<u> </u>			AND TO THE RESIDENCE OF THE PROPERTY OF THE PR
Traffic cones in place				
Trucks Grounded	CONTRACTOR DESCRIPTION OF THE PARTY OF THE P			
THE PROPERTY OF THE PROPERTY O	\ <u>\</u>			SEE LONGO APPENDICES CONTROL OF A CONTROL OF AN ACCURATION OF THE PROPERTY OF
Truck Chocks Used				SENSON DESCRIPTION AND DESCRIPTION OF THE PROPERTY OF THE PROP
The beautiful and the Company of the	AND AND REPORT OF THE PROPERTY	THE PERSON NAMED IN COLUMN TO PERSON NAMED I	ONE THE PROPERTY WAS TRANSFER THE PARTY.	THE RESIDENCE IN THE PROPERTY OF THE PROPERTY

Miscelaneous Observations	Yes	No	N/A	If NoCorrective Action Taken			
Shelby Energy Decals							
In Place			A STATE OF THE PARTY OF THE PAR				
				CALL CONSTRUCTION CONTROL CONT			
Public Hazards Present				AND			
Gaff Guards on Hooks	4/						
	II II de la companya			35033			
Notes/Comments:							
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Y							
				•			
Job Site Findings Discussed	With Cre	w: YES					
Corrective Actions Needed:	Yes	No _²	MEDICAL CHICAGO DE LO COMPANIO DE LO				
If Corrective Actions Taken E	xplain In	Detail:					
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Line Supervisor's Signature:	770	wy E					
		< 1/2	\checkmark	•			
Inspector's Signature:		<u> </u>					
			1 - 1				
Safety & Loss Control Coord	inator Sig	anature:⊸≾					
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CONTRACTOR FIELD INSPECTION FORM

Inspector: Icyan G:	<u> </u>		Date:6	-14-11
4			Time:	9:15
Position: Safety				Henry
			Location	
Company: Shelby				
Address: 620 Old Fin	Chrille Re	0	Name of	Contractor Observed:
Stolby ville Ky			_	zi 1.
, ,			150	1,64
Phone #: 502 75-13-27	ા જિ			T C 1 1
			Crew Me	mbers: JeffNeeley
Weather Conditions: 5400	~ 72°		1	
•	8	_	Av	von Smith
				lke Smith
Job Description: Remorking Jumpers unVC-4	·/			
Jumpers unVC-4	Ö	•		

Energized Work Being Prefe	rmed: Y	ES –	NO	Truck#'s
Overhead Underg				7200
Job Briefing	Yes	No	N/A	If NoCorrective Action Taken
Conducted before job & Signe	d V			
Job procedure covered				
Energy control procedure	V			
PPE used	2			
Job hazards	V			
Emergency procedures	V			
Special precautions				
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taken
Appropriate work signs	V			
Flagman required	1			
Flag person used	V			
Flag person properly equipped	d w			
Traffic cones in place	i.e.			
Trucks Grounded	la			
Truck Chocks Used				
Personal Protective Grounds	E.F			

PPE Being Used	Yes	No	N/A	If NoCorrective Action Taker
Hard hat	V			
Safety glasses	V			
Rubber gloves	V			
Rubber sleeves	V			
FR Clothing				
Fall protection				
Rubber Goods Inspections	Yes	No	N/A	If NoCorrective Action Taker
Gloves	1			
Sleeves	V,			
Line hoses	V.			
Blankets	V			
Miscelaneous Observations		No	N/A	If NoCorrective Action Taker
Shelby Energy Decals	V			
In Place	#			
Proper Clearances				
Rolling Grounds in Place	,		V	
Public Hazards Present				
Job Site Findings Discusse	d With Cre	w: YES		NO
Corrective Actions Needed:				
If Corrective Actions Taken	Explain In	Detail:		
				Contraction of the Contraction o
		411, 1 414, 1 4 4 1 1 1 1 1 1 1 1 1 1 1		
Line Supervisor's Signature	:			
		Z)		
Inspector's Signature:			TY SAME OF THE PARTY OF THE PAR	
			1	
Safety & Loss Control Coor	dinator Sic	nature:	1/1	
carral a mana animai andi				10.000 mm - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1



Inspector: Sheve F	olin		Date:	6-14-11
Position: Full 5	pervisor		Time:	10:46 Am
Company: SEC			County:_	Shelloy
Address: 620 old Kino	brille fol	1	Location	: Hausbourorge Rd
Shelbyulk, Ray	40065		Name of	Contractor Observed:
Phone#: 502-220-				Cendall
Weather Conditions: 880	dondy	,	Crew Me	mbers:
	Motoria programma w Constitution and Market		Sh	our Miller
Job Description: Cathy	out		And	hony Green
Row tap			Mid	rad Deus
PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken
Hard hat	:/			
Safety glasses	نسن ا			
Fall protection/harness	i -			
Harness Attached to Boom	i .			
Ear Plugs/ Ear Muffs				ACCESS CONTRACTOR ACCESS THAN THE CONTROL CONT
Chaps	V	Control of the last of the las		NATE OF THE PROPERTY OF THE PR
Gloves			CONTROL OF THE PROPERTY OF T	
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taken
Appropriate work signs		, , , , , , , , , , , , , , , , , , , ,		
Flagman required/used				AND THE PROPERTY OF THE PROPER
Flag person properly equipped	<u> </u>			AMERICAN PROGRAM OF THE WASHINGTON OF T
Traffic cones in place	STORY CONTRACTOR OF THE STORY O	COLUMN TO SERVICE AND SERVICE		CONTRACTOR OF THE PROPERTY OF
Trucks Grounded	1			Anti-resistante (1609 PHANA FARP COMMENTE COMMENTE COMMENT COMMENT COMMENT COMMENT COMMENT AND ADDRESS OF COMME
Truck Chocks Used		- Color (C) (1974 - Company Laboratory (C) (1974 - C) (
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Miscelaneous Observations	Yes	No	N/A	If NoCorrective Action Taken
Shelby Energy Decals				
In Place				

Public Hazards Present				
Gaff Guards on Hooks	./			
Can Guardo do Fronte				
	THE RESERVE OF THE PROPERTY OF	Mark Control of the C	ALIGURASON HANDANING CONTRACTOR AND	
Notes/Comments:	ALL RANGE AND A SECOND		A CONTRACTOR OF THE PARTY OF TH	

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Control State Paris Control State St	The Manager of the Control of the Co			
the second secon			**************************************	
	D D D STAR A	\		A1@
Job Site Findings Discussed	I with Cre	w: Yes		NO
Corrective Actions Needed:	Yes	No _ <u>`</u>		
If Corrective Actions Taken	Explain In	Detail:		
		A CONTRACTOR OF THE CONTRACTOR		
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		1 min	1/2/2	
Line Supervisor's Signature:	-	rour	M	Andrew Control of the
Line Supervisor's Signature:		row	AND .	
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Line Supervisor's Signature: Inspector's Signature:		raun Res	All Di	
,		raun Res	A.D.	
,		row S	July 3	
Inspector's Signature:		gnature:	All All	
,		gnature:	A.	





CO-OP CREW FIELD INSPECTION FORM

Inspector: Leson Gin	1		Date:	9-20-11
			Time:	11:15
Position: Sofety + Risk	Sts. t		County:	Henry
			Location	: Wolf Per 18d
Company: SEC			Crew Me	
Address: 620 Old F	inchville	Rel	MALL	// //
Shalbyvilla Ky 40065			Mike	2 Clark
Phone #: 502-643-2	778		1	110
	1		19cm	Natorel
Weather Conditions:	4 650			1.1.12
	/		14/2	Norkman
			1. 1.	/ AA]]
D /	, ,		he/	Michels
Job Description: Refire of	apt			·
convert A-1 W/A-	5 10	rest Vis		
AnVA-4				
Energized Work Being Prefe	rmed: YE	s 🗸	NO	_ Truck#'s_#9+#/3
Overhead Underg	round	V	oltage /	12 <i>0</i> 0
ordinada	ii Odii id		ortage	
Job Briefing	Yes,	No	N/A	If NoCorrective Action Taken
Conducted before job & Signe				
Job procedure covered				
Energy control procedure	1			
PPE used	V.			
Job hazards				
Emergency procedures	1/			:
Special precautions	No.			
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taken
Appropriate work signs			1	
Flagman required			V	
Flag person used			1	
Flag person properly equipped	1	······································	VI	
Traffic cones in place	/		17	
Trucks Grounded	/			
Truck Chocks Used	-/		 	
Personal Protective Grounds	· · · · · · · · · · · · · · · · · · ·		V	
				<u> </u>

PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken
Hard hat	V			
Safety glasses	V ,			
Rubber gloves	V			
Rubber sleeves	1	11		
FR Clothing	10			
Fall protection	V			
Rubber Goods Inspections	Yes	No	N/A	If NoCorrective Action Taken
Gloves	V,			
Sleeves	1			
Line hoses	//			
Blankets				

Notes/Comments: Line work Looks great good
Job Brief complet property prior to Work
Job Site Findings Discussed With Crew: YES NO
Corrective Actions Needed: Yes No
If Corrective Actions Taken Explain In Detail:
Line Supervisor's Signature:
Inspector's Signature:
Safety & Loss Control Coordinator Signature:

Inspector: Share B	olm_		Date:	5-28-11
Position: Field Sign	ρ		Time:	12:15 pm
Company:			County:_	Henry
Address: 620 Old Findle	illa Rd		Location	: 32957008
Shelbyville, Ky	40065	_	Name of	Contractor Observed:
Phone#: 502-22e-7	016		<u> </u>	endall
Weather Conditions: 87	Suny		Crew Me	mbers:
	,		Rom	use Ohanion
Job Description: Remove	3		<u>6-</u>	jan Levis
2 Bradford Prais	Come 380		_5h	ave Callam
PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken
Hard hat				
Safety glasses				
Fall protection/harness	V			
Harness Attached to Boom	/			
Ear Plugs/ Ear Muffs				
Chaps				
Gloves				
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taken
Appropriate work signs			+	
Flagman required/used				
Flag person properly equipped	<u>ו</u> √		+ //	
Traffic cones in place			1	
Trucks Grounded				
Trucks Grounded Truck Chocks Used			 	
Truck Official Cocu				
		ſ	1	1

Miscelaneous Observations	Yes	No	N/A	If NoCorrective Action Taken
Shelby Energy Decals	1/			
In Place				
Public Hazards Present				
Gaff Guards on Hooks	v			
Notes/Comments:				
Removal of	26	adford	Pravs	in 30 in
		<u>,</u> Ω		
Members	Sack Yo	ord.		
				The second secon
Job Site Findings Discussed	d With Cre	w: YES		NO
Corrective Actions Needed:	Yes	No _4		
			-	
If Corrective Actions Taken I	Explain In	Detail:		
	0		6	
Line Supervisor's Signature	Kons	- OB	o Poems est	7
	the state of the s			
		707		
Inspector's Signature:		45		
-			1	
			//_/	
Safety & Loss Control Coord	linator Sig	nature:		

Position: File Sup			Date: $6-25'-11$ Time: $12115pm$		
Address: 620 OUF n	dulle Ro				
Shelby alle, Ky	1 40065				
Phone#: 502-20-701					
Weather Conditions: <u> </u>	Sury		Crew Me	mbers:	
	`		<u>Sh</u>	ian Miller	
Job Description: Remove			Anth	ony Green	
2 Brud Good Pears &	rom 30	!	Mil	/	
PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken	
Hard hat					
Safety glasses					
Fall protection/harness	V				
Harness Attached to Boom	V				
Ear Plugs/ Ear Muffs	1				
Chaps					
Gloves					
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taken	
Appropriate work signs		110	+		
Flagman required/used					
Flag person properly equipped			1./		
Traffic cones in place	4		+-1/-		
Trucks Grounded			+		
Trucks Grounded Truck Chocks Used					
TIUCK CHOCKS OSEC					

Miscelaneous Observations	Yes	No	N/A	If NoCorrective Action Taken
Shelby Energy Decals	V			
In Place				
Public Hazards Present			./	
Gaff Guards on Hooks				
Notes/Comments:			<u> </u>	
		7 0		
2 Bradford Pe	es i	n 50	in m	enbes backyardi
0 0	A			2
_ Henry both	frees	*		
				The second secon
				·
	100111			N.A.
Job Site Findings Discussed	With Cre	w: YES		NO
	N /	na f		
Corrective Actions Needed:	Yes	No		
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If Corrective Actions Taken E	xplain in	Detail:		

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Line Supervisor's Signature:	\sim	fram	1/2/1	<i>1</i> >
Line Supervisor a Signature.		-		**************************************
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Inspector's Signature:		4		
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Safety & Loss Control Coord				
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	nator Sig	nature:		